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EXPRESS MAIL LABEL NO.: EV627625939US

PATENT APPLICATION

Docket No.: 14374.111

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450



Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- ☒ Form PTO-1449 list of 19 references submitted for consideration.
- ☐ Legible copies of the listed references or their relevant portions.
- ☐ All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- ☐ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- ☐ Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.

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EXPRESS MAIL LABEL NO.: EV627625939US

PATENT APPLICATION

Docket No.: 14374.111

___ Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

___ Legible Copies of previously filed Information Disclosure Statement for application Serial No. ____, filed ____.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

___ Promptness Certification.

___ Check No. _____ in the amount of \$180.00 constituting submission fee under 37 C.F.R. 1.17(p);

___ Petition for Consideration and Check No. _____ in the amount of \$_____.

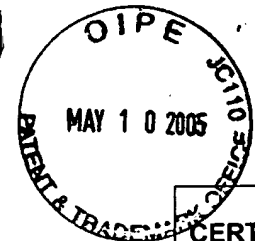
X In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 10 day of May, 2005.

Respectfully submitted,

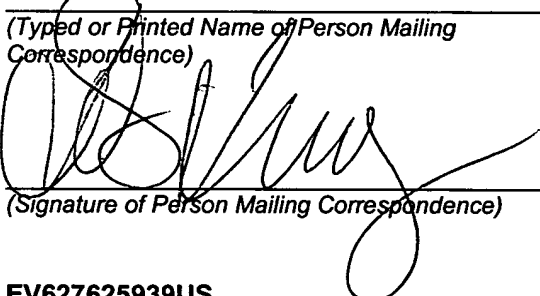


Eric L. Maschoff
Attorney for Applicant
Registration No. 36,596
Customer No. 022913



05-11-05

IFW

| | | | | | |
|---|-------------------------------|--------------------------|---------------------|-------------------------|--|
| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Wayne Rollins Hansen, et al. | | | | Docket No. 14374.111 | |
| Serial No. 10/826,774 | Filing Date April 16, 2004 | Confirmation No. 1290 | Examiner Unknown | Group Art Unit 2833 | |
| Invention: HIGH VOLTAGE CABLE ASSMBLY WITH ARC PROTECTION | | | | | |
| <p>I hereby certify that the Transmittal letter (2 pages) (in triplicate); Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (3 pgs); and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>May 10, 2005</u>.</p> | | | | | |
| <p>Tobi Terry</p> <p>(Typed or Printed Name of Person Mailing Correspondence)</p> <p></p> <p>(Signature of Person Mailing Correspondence)</p> <p>EV627625939US</p> <p>("Express Mail" Mailing Label Number)</p> <p>EV627625939US</p> | | | | | |
| TAT0000001023V001 | | | | | |

EXPRESS MAIL LABEL NO.: EV627625939US

PATENT APPLICATION

Docket No.: 14374.111



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Wayne Rollins Hansen, et al.

Serial No.: 10/826,774

) Art Unit
) 2833

Filed: April 16, 2004

Confirmation No.: 1290

For: **HIGH VOLTAGE CABLE ASSEMBLY WITH
ARC PROTECTION**

Customer No: 22913

Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

This Information Disclosure Statement is being filed within three months of the filing date of the Application or before a first Office Action on the Merits has been received, therefore, no fee is believed to be due. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

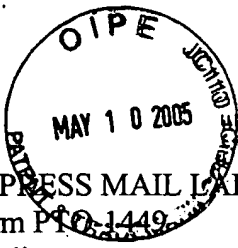
Dated this 10 day of May 2005.

Respectfully submitted,



Eric L. Maschoff
Attorney for Applicant
Registration No. 36,596
Customer No. 022913

ELM/tat
TAT0000001021V001



EXPRESS MAIL LABEL NO.: EV627625939US

Form PTO-1449

Sheet 1 of 3

Applicant: Wayne Rollins Hansen, et al.

Serial No.: 10/826,774

Att'y Docket No.: 14374.111

Filing Date: April 16, 2004

Group: 2833

Confirmation No.: 1290

For: HIGH VOLTAGE CABLE ASSEMBLY WITH ARC PROTECTION

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

| <u>Examiner Initial*</u> | <u>Patent Number</u> | <u>Issue Date</u> | <u>Name</u> |
|------------------------------|--------------------------|-----------------------|-------------------|
| _____ A1. | 4,335,928 | 06/22/1982 | Barrett et al. |
| _____ A2. | 4,362,348 | 12/07/1982 | Stephenson et al. |
| _____ A3. | 4,494,811 | 01/22/1985 | Palermo, Jr. |
| _____ A4. | 4,767,961 | 08/30/1988 | Koller et al. |
| _____ A5. | 4,806,113 | 02/1989 | Rate et al. |
| _____ A6. | 4,799,248 | 01/1989 | Furbee et al. |
| _____ A7. | 5,145,402 | 09/1992 | Plyler et al. |
| _____ A8. | 5,154,638 | 10/13/1992 | Sireul et al. |
| _____ A9. | 5,162,267 | 11/10/1992 | Smyth |
| _____ A10. | 5,310,361 | 05/10/1994 | Muchowicz et al. |
| _____ A11. | 5,358,419 | 10/25/1994 | Pejsa et al. |
| _____ A12. | 5,384,820 | 01/24/1995 | Burke |

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV627625939US

Form PTO-1449

Sheet 2 of 3

Applicant: Wayne Rollins Hansen, et al.

Serial No.: 10/826,774

Att'y Docket No.: 14374.111

Filing Date: April 16, 2004

Group: 2833

Confirmation No.: 1290

For: HIGH VOLTAGE CABLE ASSEMBLY WITH ARC PROTECTION

| | | | |
|------------|--------------|------------|--------------------|
| _____ A13. | 5,553,114 | 09/03/1996 | Siemers et al. |
| _____ A14. | 5,707,252 | 01/13/1998 | Meszaros |
| _____ A15. | 6,140,582 | 10/2000 | Sheehan |
| _____ A16. | 6,198,802 | 3/2001 | Elliot et al. |
| _____ A17. | 6,213,805 | 04/2001 | Jedlitschka et al. |
| _____ A18. | 6,556,654 B1 | 04/29/2003 | Hansen et al. |
| _____ A19. | 6,816,574 B2 | 11/09/2004 | Hansen |

Foreign Patent Documents

| <u>Examiner</u> <u>Initial*</u> | <u>Document</u> <u>Number</u> | <u>Publ.</u> <u>Date</u> | <u>Country or</u> <u>Patent Office</u> | <u>Sub</u> <u>Class</u> | <u>Class</u> | <u>Trans-</u> <u>lation</u> |
|------------------------------------|----------------------------------|-----------------------------|---|----------------------------|--------------|--------------------------------|
|------------------------------------|----------------------------------|-----------------------------|---|----------------------------|--------------|--------------------------------|

NONE

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial*

NONE

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV627625939US

Form PTO-1449

Sheet 3 of 3

Applicant: Wayne Rollins Hansen, et al.

Serial No.: 10/826,774

Att'y Docket No.: 14374.111

Filing Date: April 16, 2004

Group: 2833

Confirmation No.: 1290

For: HIGH VOLTAGE CABLE ASSEMBLY WITH ARC PROTECTION

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

TAT0000001018V001

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.